

AUDIT AND COMPLIANCE COMMITTEE
Waitzer Hall Boardroom
December 13, 2022 ~ 11:00am

Board Members Present

Blythe A. Scott, Chair
Rear Admiral Robert J. Bianchi
Betty B. Bibbins, MD
George A. Faatz
Conrad M. Hall
Daun S. Hester
Bruce D. Waldholtz, MD

Board Members Absent

W. Ashton Lewis, Sr.

Others Present

Alfred Abuhamad, MD
Milton Brown, PhD
Tammy Chrisman
Brant Cox
Vickie Dean
Justynne Friend, KPMG
Helen Heselius
Joel Hilton
Tracy Morton
Trey Oglesby, KPMG
Stacy Purcell
Josephine Wiley
Jennifer Williams
Barrett Wood

CALL TO ORDER

Ms. Blythe Scott, Chair, called the meeting to order at 9:02 am.

APPROVAL OF MINUTES

Upon a motion made and seconded, the minutes of the Audit and Compliance Committee meetings held on September 20, 2022 and October 28, 2022, were unanimously approved as distributed.

EXTERNAL AUDIT UPDATE

Mr. Trey Oglesby and Ms. Justynne Friend of KPMG presented the Single Audit Update on two major programs – the Student Financial Aid and Education Stabilization Fund. To date, there are no issues or findings to bring to the attention of the Board.

INTERNAL AUDIT UPDATE

Mr. Barrett Wood, Executive Director of Internal Audit, presented the Internal Audit Update including the status, findings, and pending or completed corrective actions of risk based and annual audits that are in progress, completed, or planned.

The Fiscal 2022 risk-based audits for IRB Research Study Compliance, Student Financial Assistance and Time and Attendance System have been completed and a report has been issued with low priority findings. Corrective action is completed.

COMPLIANCE UPDATE

Ms. Josephine Wiley, Associate Vice President of Compliance and Legal Affairs, updated and reviewed the upcoming Annual Training, which will open in January for faculty, staff and residents. Due to the integration, we are working with ODU to ensure compliance training will be in alignment and we expect training to begin in February or March 2023.

Ms. Wiley reported 3 new complaints filed on the hotline, noting complaint numbers have decreased this year. There were no issues or findings to discuss.

POLICIES FOR ACTION

Ms. Stacy Purcell, Vice President and General Counsel, presented the following policies to the committee for approval: Smoking, Vaping and Tobacco Product Free Campus Policy, Digital Accessibility Policy, Policy & Procedure for Responding to Allegations of Research Misconduct.

RESOLVED, that upon a motion made and seconded, the policies were unanimously approved as distributed.

There being no further business to discuss, the meeting adjourned at 9:21am.

Tracy Morton
Recording Secretary