

AUDIT AND COMPLIANCE COMMITTEE
Waitzer Hall, Room 100
December 14, 2021
10:15 AM

BOARD MEMBERS PRESENT

Blythe Scott (Chair)
Robert Bianchi, Rear Adm. (USN) Ret.
Betty Bibbins, MD
Sarah Bishop
Sharon Goodwyn
Conrad Hall
Daun Hester
Phillip Hucles
W. Ashton Lewis
Bruce Waldholtz, MD

BOARD MEMBERS ABSENT

Chester Hart, Jr.

OTHERS PRESENT

Tammy Chrisman
Brant Cox
Helen Heselius
Joel Hilton
Trey Oglesby
Stacy Purcell
Heidi Smith
Nick Strong
Josephine Wiley
Jennifer Williams
Barrett Wood
Mireille Wynn

CALL TO ORDER

Ms. Blythe Scott, Chair, called the meeting to order at 10:13 AM.

APPROVAL OF MINUTES

Upon a motion made and seconded, the minutes of the Audit and Compliance Committee meeting held on September 21, 2021, and October 26, 2021, were unanimously approved as distributed.

KPMG EXTERNAL AUDIT UPDATE

Mr. Trey Oglesby discussed the progress of the external audit. The major program selected for test work this year is Research and Development. Because of the receipt of federal funds associated with the pandemic, there was a possibility that other programs would be tested if determined to be high risk by the OMB. The OMB has now released their compliance supplement, requiring Higher Education Emergency Relief Funds (HEERF) to be tested as well. The test work is ongoing, with no current findings to bring to the attention to the Committee.

INTERNAL AUDIT UPDATE

Mr. Barret Wood, Executive Director of Internal Audit, reviewed the Internal Audit Update including the status, findings, and pending or completed corrective action of the risk-based and annual audits that are currently in progress, completed, or planned.

Corrective actions for the FY20 Respirator Audit are continuing. The department plans to automate the fit testing process by adding a module to our existing software. A capital budget request is waiting for approval.

For FY22, the Time and Attendance system audit fieldwork is in progress and proceeding well. The Procurement Card individual audits are substantially complete and the Indigent Care audits are currently in progress and progressing well.

COMPLIANCE REPORT

Ms. Josephine Wiley updated the Committee on Annual Training, which includes compliance training from multiple departments including Human Resources, IT, and others. Training is done virtually on the Blackboard Learning Management System. The training period will be approximately 60 days for the residents, and 90 days for faculty and staff. Additionally, the Medical Group's Annual Compliance training is running concurrently this year.

Five complaints were received on the Ethics and Compliance hotline, which is a substantial reduction in number from the prior period. Ms. Wiley attributes this to Mr. Cox taking over as interim CEO of the Medical Group, as there has been a sharp decline in the number of patient complaints.

Ms. Wiley introduced Mireille "Mimi" Wynn, the new Executive Assistant in the Office of the General Counsel, who will be providing support to the Audit and Compliance Committee going forward.

There being no further business to discuss, the meeting adjourned at 10:22 AM.

Heidi G. Smith
Recording Secretary